



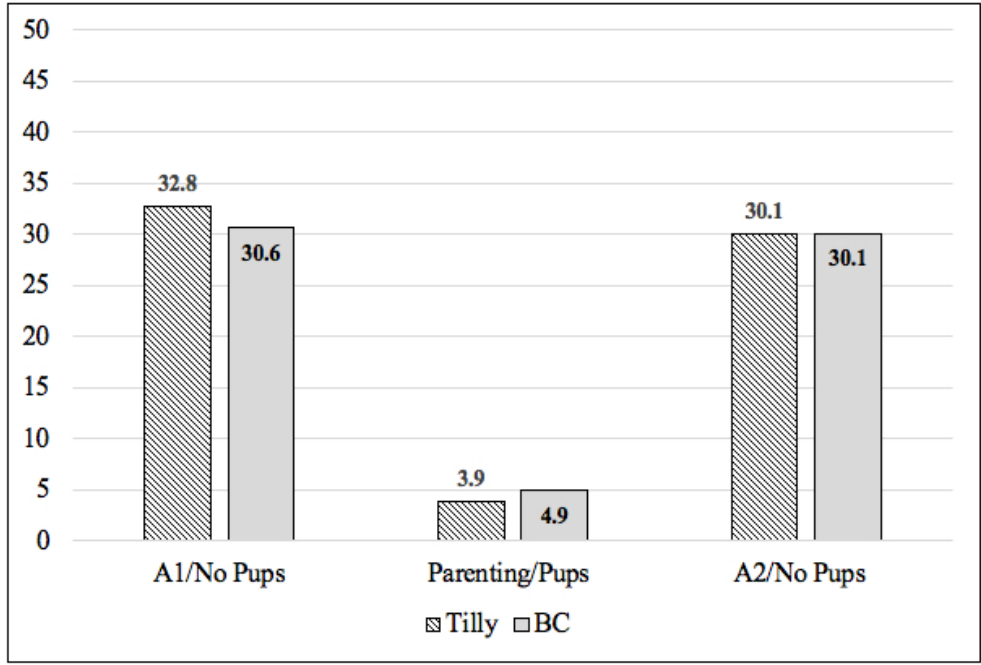
**Parenting Phase and Abnormal Repetitive Behavior Among  
Two Captive, North American River Otters (*Lontra  
canadensis*)**

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**Observed ARB Percentages by Otter Across Three Parenting Phases**



217x159mm (72 x 72 DPI)

### Research Highlights

- This is the first published study of river otter abnormal repetitive behavior across parenting conditions.
- ARB frequency for both otters was lowest during parenting and highest following pup relocation, when the female adjusted a pre-existing ARB to include foot suckling and a foot-grab.

For Peer Review

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Parenting Phase and Abnormal Repetitive Behavior Among Two Captive,

North American River Otters (*Lontra canadensis*)

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## 27 Abstract

28 One adult female and one adult male North American river otter (*Lontra canadensis*) were observed for  
29 the presence of abnormal repetitive behaviors from March of 2016 through August of 2018 at the Oregon  
30 Zoo. This study represents a natural ABA design, where the A reflects two absent pup phases (i.e., post-  
31 alloparenting and post-parenting), while the B condition refers to the observational period when pups  
32 were on exhibit with one or both biological parents. The goal of this study was to explore the differential  
33 variability of abnormal repetitive behavior relative to parenting condition among two captive, adult North  
34 American river otters. Both adult otters engaged in locomotor abnormal repetitive behaviors prior to and  
35 following the parenting condition. Between the adult male and female, the sequence of their abnormal  
36 repetitive behaviors were qualitatively different. The frequency of abnormal repetitive behaviors was also  
37 highest for both adult otters prior to and following parenting, when one foster pup and two biological  
38 offspring were relocated to other zoos. The abnormal repetitive behaviors were also significantly  
39 correlated with visitor density, visitor effect score, and exhibit zone, though not in consistent directions.  
40 Therefore, the parenting condition was the only consistent moderator of the abnormal repetitive behaviors  
41 observed during the A phases of this longitudinal investigation.

42  
43 Key words: stereotypy, pups, otters

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River Otter Parenting and Abnormal Repetitive Behavior

53 Parenting Phase and Abnormal Repetitive Behavior Among Two Captive,

54 North American River Otters (*Lontra canadensis*)

55 North American river otters (*Lontra canadensis*), within the family of Mustelidae and part of the  
56 subfamily Lutrinae, are among the thirteen global otter species (DeLong, Wright, Fobe, Wilcox, &  
57 Morrison, 2018). Otter morphology, habitat, diet, foraging behavior, group size, and sociality vary across  
58 the species (Yoxon & Yoxon, 2014). The North American river otter, one of the more gregarious otters  
59 may be found alone in its natural habitat; though frequently, otters live in adult bachelor groups (Blundell,  
60 Ben-David, & Bower, 2002) or kinship groups, consisting of a matriarch and her offspring (Kruuk, 2006,  
61 pp. 55-73). Their fast metabolism, 20 percent higher than most other mammals, requires otters to spend  
62 much of their active waking hours foraging or hunting for food, predominantly fish and crustaceans in  
63 their home ranges (Kruuk, 2006, pp. 143-161). These territorial ranges vary considerably from 4 to 70  
64 kilometers depending on available resources and are scent-marked through regularly used latrine sites,  
65 typically along water features surrounding river banks, lacustrine shores, estuaries, and marine harbors  
66 (Sussex Wildlife Trust, 2016). Despite broad and varied territories in their natural habitat, territorial  
67 analogous enclosures in captivity are not practical for several reasons, not the least of which includes the  
68 pragmatics of management and space availability; but also, mustelids are notorious for finding structural  
69 weaknesses in the enclosures (Kollias & Fernandez-Moran, 2015). The restricted range and constrained  
70 space of captive enclosures limit the availability and necessity for foraging, hunting, scent-marking,  
71 territorial patrol, and traveling – behaviors involved in the search, seizure, or preparation of resources.  
72 Without a clear need to engage in these biologically relevant activities, the idleness of captivity can  
73 encourage repetitive, invariant behavior, referred to as stereotypy (Clubb & Mason, 2003).

74 Since stereotypic behaviors appear to serve no immediate biological need or function it is widely  
75 assumed such behaviors are an artifact of frustration, anxiety, or boredom due to the behavioral restriction  
76 of captivity (e.g., Meagher & Mason, 2012), enrichment scarcity (e.g., Mason, Clubb, Latham, Vickery,  
77 2007), social isolation (e.g., Carlson & Earls, 1997; Latham & Mason, 2008), or environmental stressors  
78 (e.g., Hosey, 2000). Stereotypies are often learned and developmental, as they evolve over time through

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2  
3 79 neural potentiation, adjusting in frequency, duration, and form, though once established may be resistant  
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5 80 to change (Garner, 2006). Stereotypy has traditionally served as a metric of poor captive animal welfare  
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7 81 (Mason, 1991). However, captive animals that are provided with creative enrichment, species-appropriate  
8  
9 82 social interactions, good veterinary care, adequate exhibit space and opportunities to escape  
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11 83 environmental stressors may still engage in stereotypic behavior. As such, researchers, animal care-  
12  
13 84 providers, comparative psychologists, and ethologists have moved toward simply reporting, “abnormal  
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15 85 repetitive behavior” (ARB; Rushen & Mason, 2006). While not all captive animals engage in ARBs, their  
16  
17 86 occurrence does appear to be a product of captivity (Mason & Latham, 2004). The breadth and contexts in  
18  
19 87 which ARBs occur can be unique across all taxonomic classifications, vary within species, across  
20  
21 88 individual animals, and may be acculturated through observation of other conspecifics (Mason, 2010).

24 89 Mustelids, the large family of carnivores that include mink, ferrets, weasels, martens, otters, badgers,  
25  
26 90 polecats and wolverines, are especially susceptible to abnormal repetitive behavior in captivity (Kollias &  
27  
28 91 Fernandez-Moran, 2015). These may include locomotor ARBs (e.g., pacing, repetitive swimming) as  
29  
30 92 well as oral ARBs (e.g., grooming, chewing, ingesting) with enrichment, parts of their enclosure (Kollias  
31  
32 93 & Fernandez-Moran, 2015), as well as overgrooming, licking or sucking their pelage, or the fur and  
33  
34 94 anatomy of conspecifics (Island, Wengeler, & Claussenius-Kalman, 2017). Among farmed mink, pacing  
35  
36 95 behavior is common, the frequency of which often increases prior to mealtimes (Mason & Mendl, 1997)  
37  
38 96 and can be mitigated through variable feeding schedules (Mason, 1991). In a study of olfactory stimuli as  
39  
40 97 a moderator of abnormal repetitive behavior among wolverines, Chaudhary, Godoy, Hofling and Olsson  
41  
42 98 (2007), reported pacing in the form of pirouettes and circling. Similarly, Morabito and Bashaw (2012) in  
43  
44 99 a large survey of AZA accredited zoos and aquariums reported that among 55 institutions and 129  
45  
46 100 individual river otters, 46 percent of the otters performed ARBs, with repetitive swimming and pacing as  
47  
48 101 the most common. Reed-Smith (2012) reported that some otters, particularly those without access to  
49  
50 102 aquatic setbacks and only provisioned swim space by viewing windows, developed repetitive swimming  
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52 103 sequences (pp. 230-249). Though some of these behaviors can be resolved by identifying and providing  
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54 104 species-salient enrichment (Swaigood & Shepherdson, 2005).

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3 105 The breadth of animal enrichment has progressed from quality husbandry and veterinary care to  
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5 106 include biologically relevant stimuli and behavioral opportunities to engage in species-typical activities,  
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7 107 especially concerning appetitive behavior (e.g., foraging and territorial protection) (Grams, 2000). It  
8  
9 108 could be argued that one of the most motivating and biologically germane adult behaviors for all animals  
10  
11 109 is an opportunity to parent. Though parenting opportunity has been overlooked in the enrichment  
12  
13 110 literature, which has focused largely on the offspring and the mental and physiological health  
14  
15 111 consequences of maternal deprivation (Latham & Mason, 2008) and pre-weaning (e.g., sea otters,  
16  
17 112 Hanson, Bledsoe, Kirkevold, Casson, & Nightingale, 1993; primates, Harlow, 1958; mink, Díez-León &  
18  
19 113 Mason, 2016; elephants, Clubb & Mason, 2002; review, Latham & Mason, 2008) with little mention of  
20  
21 114 the effects of parentage on emergent or existent abnormal repetitive behavior by captive parents.  
22  
23 115 Certainly, the inclusion of parenting or alloparenting opportunities offer a biologically meaningful and  
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25 116 natural context in captivity that may, depending on the social dynamics of resident animals and species-  
26  
27 117 typical parenting behavior, facilitate intraspecific cohesion.

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30 118 The goals of this observational study were to identify: 1.) the frequency of abnormal repetitive  
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32 119 behavior among two adult North American river otters at the Oregon Zoo; 2.) individual differences in  
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34 120 ARBs between the two otters; and 3.) if ARBs in either one or both of the adult otters differed across  
35  
36 121 parenting phases. In their natural habitat, female river otters maintain kinship bonds for six months or  
37  
38 122 more post-weaning; while male river otters have limited to no parental investment, generally departing a  
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40 123 mate's territory following breeding (Kruuk, 2006). As such, rearing opportunity may be more  
41  
42 124 biologically meaningful for female otters than males, thus we predicted the observed ARBs would be  
43  
44 125 lowest for the female during the parenting condition of this study.

## 126 Method

### 127 Focal Subjects

51 128 The subjects of this research were two captive North American river otters in the Cascade Stream and  
52  
53 129 Pond exhibit at the Oregon Zoo. Tilly, named after the Tillamook River, is a 23-pound North American  
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55 130 river otter, found orphaned, malnourished, and wounded from an animal attack (scar is still apparent on  
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3 131 her right thorax) near Johnson Creek in 2009. She arrived at approximately 4 months of age. Once her  
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5 132 health improved, Tilly came to the Oregon Zoo in a transfer facilitated by the Oregon Department of Fish  
6  
7 133 and Wildlife (Lewis, 2016).

9 134 Buttercup (“BC”), a 23-pound male, North American river otter was found in 2009 orphaned near Star  
10  
11 135 City, Arkansas. He was initially taken in by the Little Rock Zoo, but transferred to the Oregon Zoo the  
12  
13 136 following year as a companion for Tilly. He had surgery to remove his left maxillary canine, but was  
14  
15 137 otherwise healthy. BC and Tilly had a long, shared parenting history. In January of 2013, Tilly gave birth  
16  
17 138 to two pups, Molalla and Ziggy, both were weaned at the Oregon Zoo. Molalla was relocated a year later,  
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19 139 in January of 2014 to the Seattle Aquarium and Ziggy was transferred to the Seattle, Washington’s  
20  
21 140 Woodland Park Zoo in March of 2015. That same year, Little Pudding, an orphaned pup rescued on the  
22  
23 141 road near Corvallis, Oregon was brought to the Oregon Zoo, where Tilly alloparented the pup until it was  
24  
25 142 transferred to the Maryland Zoo on March 29 of 2016. Again in 2016, Tilly birthed three more pups,  
26  
27 143 though two were still births, and the last died a short time later. On February 26 of 2017, Tilly gave birth  
28  
29 144 to three more pups, two survived, Tucker (male) and Nellie (female). Both remained with Tilly for one  
30  
31 145 year. Tucker was transferred on February 27, 2018 to the Children’s Zoo at Celebration Square in  
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33 146 Saginaw, Michigan. Nellie was relocated at 1 year and 2 weeks to Prospect Park Zoo in Brooklyn, New  
34  
35 147 York on March 18, 2018 (Lewis, 2019a).

### 38 39 148 **Materials**

40  
41 149 Observations were digitally video recorded using a Sony FDRAX33 4K digital video camcorder  
42  
43 150 mounted on a 12-foot telescoping Sunpak Ultra tripod. Behaviors were simultaneously documented using  
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45 151 a behavioral ethogram printed on legal sized Rite in the Rain® all weather copy paper, clipped to a  
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47 152 11”x17” acrylic, side-fastener clipboard and entered into an SPSS datafile. Digital data were archived into  
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49 153 an online cloud, using Box® software.

### 51 154 **Design**

52  
53 155 This study reflects a natural ABA longitudinal design. Observations occurred over the course of two  
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55 156 years and five months. The A conditions refer to phases of the study when pups were absent from both

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3 157 the enclosure and the exhibit. Specifically, the “A<sub>1</sub>” condition (i.e., post-alloparenting) reflects the  
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5 158 observational period following the relocation of Little Pudding, a pup Tilly alloparented for  
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7 159 approximately one year. While the “A<sub>2</sub>” condition (i.e., post-parenting) refers to the observational period  
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9 160 following the relocation of the biological pups, Nellie and Tucker. Thus, the B condition (i.e., parenting  
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11 161 phase) represents the observational period in between the two absent-pup phases, wherein Nellie and  
12  
13 162 Tucker were on exhibit with one or both biological otter parents.

### 163 **Procedure**

164 A total of eight observers participated in collecting data for this project with no more than two  
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20 165 concurrent observers during any one of the three conditions (A<sub>1</sub>, B, A<sub>2</sub>) of this longitudinal project. Data  
21  
22 166 collection began in March of 2016 and continued through August of 2018. Activity budgets consisted of  
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24 167 10-minute blocks divided into 30-second intervals. Periodically, researchers stopped between the 10-  
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26 168 minute observation intervals to focus the camera or zoom in on a specific behavior. The two observers  
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28 169 concurrently recorded data for the same otter to track inter-observer agreement. For times in which  
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30 170 multiple animals were on exhibit and engaged in autonomous activity, observers recorded behavior for a  
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32 171 single focal animal and then referred to the video footage to record behavior for the other otters. The  
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34 172 observation times varied across Zoo Exhibit hours, between 9:30 am and 5:00 pm, with an average  
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36 173 observation period of 85-minutes. The total number of minutes in observation over the 38-month period  
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38 174 was 9,386 minutes (app. 156 hours) across four, paired observers (eight total) with an interobserver  
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40 175 agreement value (Cohen’s kappa) of  $K=.848$  or 85%, indicating substantial agreement.

43 176 **Ethogram.** Individual animals as well as animal communities establish their own behavioral repertoire  
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45 177 in captivity, contingent upon training, experience, age, sex, and social cohesion (Schork, de Azevedo, &  
46  
47 178 Young, 2018). The development of a valid ethogram requires a pilot observation period to gauge  
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49 179 individual idiosyncrasies and the social dynamics within a particular community. Some animals only  
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51 180 engage in certain behavior in the presence of another animal, in the absence of people, with fewer people,  
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53 181 when the noise level is high, around feedings, etc. These kinds of complex behavioral nuances cannot be  
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55 182 ascertained without simply observing. The initial observation period lasted one week, prior to formal data

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## River Otter Parenting and Abnormal Repetitive Behavior

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2  
3 183 collection. The 36-item ethogram, originally adapted from the activity budget of sea otters (Packard &  
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5 184 Ribic, 1982; Island, Wengeler, & Claussenius-Kalman, 2017), was modified to reflect species typical  
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7 185 behavior among captive North American river otters (Smith, Win, & Island, 2018). For each day of the  
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9 186 pilot observation period, unobserved behaviors were excluded from the working ethogram with the  
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11 187 inclusion of specific behaviors repeatedly evidenced among the two adult, captive North American river  
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13 188 otters at the Oregon Zoo. The ethogram that emerged from this initial pilot period included four species-  
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15 189 typical behavioral categories: Vigilance; Resting and Eating; Socializing and Play, Locomotion and  
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17 190 Investigation (see Table 1) as well as one additional category labeled Visitor Impact. The ethogram's  
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19 191 Visitor Impact category included two visitor variables, Visitor Number and Visitor Effect Score to  
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21 192 identify contextual cues in which visitors may contribute to dramatic changes in otter behavior, including  
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23 193 relocation to more secluded areas of the enclosure. According to Hosey (2000), the mere presence of  
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25 194 visitors may not affect animal behavior if their behavior and presence is passive and transient. The degree  
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27 195 of disruption is not necessarily correlated with visitor density either, different visitors engender different  
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29 196 levels of introspection regarding their effect on the animals. For example, eight visitors watching an  
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31 197 exhibit may observe respectful, mindful distances and speak in a hushed register, offering little in the way  
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33 198 of threat or disruption. In contrast, one loud, invasive visitor may clap on the glass of the exhibit, walk in  
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35 199 restricted areas too close to the enclosure and actively seek out the attention of the exhibit animals. Thus,  
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37 200 a Visitor Effect Score (VES) was established so the investigators had a metric to assign visitors in each  
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39 201 observation session. The VES reflected a continuous, modestly subjective, linear, scoring system along a  
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41 202 10-point scale, where 1 represented calm, unobtrusive visitor behavior and 10 represented loud,  
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43 203 disruptive, and invasive behavior (i.e., harassment), which resulted in the displacement of one or more of  
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45 204 the otters to an exhibit zone away from visitor view.

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47 205 In addition to the ethogram, contextual data including time of day, temperature, weather, the number  
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49 206 and name of the otters on exhibit (i.e., all otters within the exhibit), and the location of the animal/s within  
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51 207 the enclosure (i.e., zone) was collected.  
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208 **Exhibit Zones.** The length of the Oregon Zoo Cascade Stream and Pond exhibit is 1,330 square feet  
209 with the pond occupying 40% of the exhibit. The pond is fed by a small waterfall and supports a floating,  
210 circular enrichment platform (Lewis, 2016, 2019b). Exhibit habitat includes boulders, logs, trees, and  
211 other vegetation, as well as a terrestrial path behind the pond to the covered den. In order to document  
212 where behaviors occurred in the habitat and the location of visitors when referencing visitor variables, the  
213 investigators divided the exhibit into six zones (See Figures 1-3). All areas of the exhibit are visible from  
214 the visitor viewing theater, a covered area with five windows extending along the water line of the pond  
215 (Zone 3) from the west side (Zones 1 & 2) of the exhibit to the east side (Zones 3 & 5) as well as a  
216 separate observation window into the enclosed den (Zone 6).

### 217 Results

218 Activity budget and ethogram behaviors were tagged as ARBs if they occurred in a repetitive, invariant  
219 sequence with no apparent function. We observed both adult North American river otters at the Oregon  
220 Zoo engage in locomotor ARBs. Phi correlations for the female's (Tilly) abnormal repetitive behaviors  
221 included a sequence of several behaviors: Push off (exhibit) Surface,  $\phi = .37, p < .001$ , Surface Swim,  $\phi =$   
222  $.23, p < .001$  to the central area of the enclosure (Zone 4), repetitive Somersault,  $\phi = .23, p < .001$ , and  
223 Surface Swimming again to the opposite side of the exhibit. This sequence was labelled an ARB  
224 (1=present; 0=absent) in the ethogram if it occurred repeatedly over a period of several minutes. The  
225 male's (BC) abnormal repetitive behaviors included a series of aquatic locomotor behaviors in which he  
226 entered the water and initiated a Surface Swim,  $\phi = .28, p < .001$  to the end of the exhibit in Zone 4,  
227 pushed off the wall or the platform (i.e., "Push-off Surface") into a Backward Dive,  $\phi = .24, p < .001$  and  
228 Underwater Swim,  $\phi = .22, p < .001$ , back to the original entry point in the water. The sum of this  
229 sequence looked like an infinity symbol, thus we referred to BC's ARBs as an "infinity sequence."

230 Tilly and/or BC were on exhibit in over 80 percent of all behavioral observations regardless of  
231 parenting condition (Table 2). The frequency of ARBs varied between the otters and across the three  
232 parenting conditions of the study (Figure 4). During the A<sub>1</sub> phase, Tilly engaged in abnormal repetitive

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233 behaviors in 32.8% ( $n=981$ ) of all observations in which she was on exhibit ( $N=2,987$ ). Similarly, BC  
234 engaged in ARBs 30.6% ( $n=970$ ) of all observations in the  $A_1$  phase ( $N=3,166$ ). Throughout the B  
235 condition (i.e., parenting) of observations, both Tilly ( $N=4,180$ ) and BC's ( $N=3,460$ ) ARBs decreased to  
236 3.9% ( $n=165$ ) and 4.9% ( $n=169$ ) respectively. The ARB type and frequency returned to pre-pup levels for  
237 Tilly and BC once Nellie and Tucker were relocated to another Zoo. In the  $A_2$  phase, following the  
238 relocation of the pups, Tilly adjusted her repetitive behavioral sequence. Tilly held her rear foot (i.e.,  
239 "Foot-Grab"  $\phi = .23, p < .001$ ; Figure 5) as part of the repetitive Somersault and suckled one or both rear  
240 feet (i.e., "Suckle")  $\phi = .23, p < .001$  when she slept (Figure 6). Further, among Tilly's observed  
241 repetitive hind Foot-Grab and Foot-Suckle behavior, she demonstrated a side bias, as 75% were with the  
242 right hind foot (see Table 3). According to Tilly's keepers at the Oregon Zoo, there were no current foot-  
243 related problems or injuries in her veterinary files that would explain the inclusion of the Foot-Grab  
244 behavior in the ARB sequence, or in favoring the right rear foot during resting activity (Lewis, 2018).

245 A Brown-Forsythe one-way analysis of variance was conducted to accommodate varied observation  
246 numbers across the three conditions and yielded significant differences in mean abnormal repetitive  
247 behaviors across the parenting or B condition of observations,  $F(2, 9265) = 585.81, p < .001$ . Pairwise  
248 comparisons of frequencies of abnormal repetitive behaviors across the three conditions ( $A_1, B, A_2$ ) were  
249 conducted using a Bonferroni adjusted alpha level of .017 per test (.05/3). Results indicated that the  
250 average number of abnormal repetitive behaviors were significantly lower at the .001-level in the B  
251 condition (i.e., parenting;  $M = .04, SD = .20$ ) than those in both the  $A_1$  (i.e., post-alloparenting;  $M = .30,$   
252  $SD = .46$ ) and the  $A_2$  conditions (i.e., post-parenting;  $M = .30, SD = .46$ ). However, there were no  
253 significant differences in the frequencies of abnormal repetitive behaviors between Tilly and BC in the  
254 Post-alloparenting ( $A_1$ ) and Post-parenting ( $A_2$ ) phases, thereby suggesting the "A" in our natural ABA  
255 design reflected truly analogous A phases. Given the nature of the aquatic, locomotor ARBs, a one-way  
256 ANOVA of Zone by ARB frequency,  $F(5, 9251) = 495.17, p < .001$ , with an adjusted alpha level of .008  
257 (.05/6) for pairwise comparisons revealed the bulk of ARBs emerged in Zone 4, the open water directly in

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front of the observation window. This is consistent with other published reports of river otter locomotor ARBs in captivity (Reed-Smith, 2012).

An additional Brown-Forsythe one-way analysis of variance between parenting condition and the frequency of Displacement was also conducted yielding significant mean frequencies between behavioral displacement,  $F(2, 9265) = 311.71, p < .001$ . Again, pairwise comparisons of Displacement frequencies across the three conditions were conducted using a Bonferroni adjusted alpha level of .017 per test (.05/3). Based on the results, the otters appeared to become sensitized to disruption following the relocation of the pups, as the average number of times the otters were displaced from one area of the exhibit to another was significantly higher ( $p < .001$ ) in the  $A_2$  ( $M=.18; SD=.38$ ) phase than in the  $A_1$  phase ( $M=.00; SD=.00$ ) or the B condition (i.e., Parenting;  $M = .14; SD = .35$ ). Though both Displacement and ARB were variables sensitive to parenting condition, Displacement and ARBs were negatively correlated,  $\phi = -.08, p < .001$ . Rather, behavioral displacement was related to environmental context and Vigilance Variables: Alert,  $\phi = .27, p < .001$  and Periscope,  $\phi = .03, p = .002$ .

Unlike other studies of otter abnormal repetitive behavior and feeding schedules (e.g., Morabito & Bashaw, 2012; Hawke, Lauer, Bartholomeusz, & Steen, 2000; Ross, 2002), only two instances of Begging (Tilly) were observed during feedings. Further, ARBs were negatively correlated with feeding times, defined as 10-minutes prior to and following feeding,  $\phi = -.14, p < .001$ . Similarly, Enrichment (i.e., the presence of enrichment toys or changes to the exhibit) was negatively correlated with ARBs as well,  $\phi = -.06, p < .001$  (see Table 4). This is not surprising given the Oregon Zoo incorporates enrichment regularly as part of both their otter feeding and husbandry protocols.

**Ethics Guidelines Acknowledgement.** The authors abide by Wiley's ethical guidelines and by the guidelines produced by the Committee on Publication Ethics. Although this study was submitted to Pacific University's Institutional Animal Care and Use Committee (IACUC) for consideration, it was deemed "Exempt" from review given the study posed no additional risks or harm to the observational

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282 animals beyond daily zoo visitor interaction. In other words, this project was entirely behavioral  
283 observation with no direct interaction with or manipulation of the river otters observed at the Oregon Zoo.

## 284 Discussion

285 This behavioral account provides to our knowledge, the first published longitudinal study of abnormal  
286 repetitive behavior across captive river otter parenting phases. The purpose of this study was to  
287 determine if the two adult river otters at the Oregon Zoo engaged in abnormal repetitive behavior and if  
288 so, to identify the individual differences between the two otters in type and frequency of ARBs across  
289 parenting cycles. It was predicted that Tilly's abnormal repetitive behavioral sequences would decline  
290 during the parenting condition. This prediction was confirmed; however, BC's ARBs were also  
291 significantly lower during the parenting condition than in the no-pup conditions ( $A_1$  &  $A_2$ ). Although no  
292 causal conclusions can be drawn from these data, it does appear that rearing-opportunity or presence of  
293 pups, mediated invariant, repetitive behavior for the two observed otters.

294 Both adult river otters at the Oregon Zoo were observed performing ARBs and similar to the Morabito  
295 and Bashaw (2012) large-scale survey of North American river otter behavior, locomotor ARBs were the  
296 most frequently observed stereotypy. The two otters' ARBs were qualitatively different, with the  
297 emergence of an oral ARB among the female's (Tilly) ethogram involving foot sucking and foot grabbing  
298 following the relocation of the subadult pups. If we consider the typical stressors in a captive  
299 environment which may contribute to abnormal repetitive behavior, they include: 1.) boredom from  
300 limited enrichment and/or an inability to perform species-typical feeding and foraging behavior; 2.) high  
301 visitor density or disruptive noise; 3.) non-natural habitat, a restricted range within the habitat, and/or  
302 absence of retreat space; and 4.) disrupted intraspecific cohesion (Morgan & Tromborg, 2007). Since the  
303 Oregon Zoo incorporates more than 200 enrichment items and activities in the otters' training and in all  
304 physical therapies, husbandry, and veterinary care on and off exhibit (Lewis, 2017), it is unlikely the  
305 performance of ARBs is the result of limited enrichment. This is especially implausible since enrichment  
306 and feeding times were negatively correlated with ARBs.

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2  
3 307 Concerning the influence of visitors or a visitor effect on ARB performance, significant correlations  
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5 308 for the performance of ARBs and visitor number (positively) and visitor effect scores (negatively) were  
6  
7 309 correlated in opposite directions. Limited exhibit retreat space cannot be excluded as a moderator of  
8  
9 310 ARBs, as two of the six zones offer otters obscured visitor visibility and retreat space on exhibit, though  
10  
11 311 the location of ARBs did not differ by parenting condition. Further no ARBs were observed in these areas  
12  
13 312 of the exhibit. Morgan and Tromborg's (2007) fourth explanation for the establishment of ARBs,  
14  
15 313 "disrupted intraspecific cohesion" appears to be the most appropriate explanation relative to ARB  
16  
17 314 frequency for both the adult male and female captive otters at the Oregon Zoo. The absent-pup ( $A_1$  &  $A_2$ )  
18  
19 315 conditions revealed the most frequent and consistent ARBs, with the emergence of an oral ARB for Tilly  
20  
21 316 immediately following the relocation of her biological pups ( $A_2$ ).

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23  
24 317 In natural environments, social groups for otters vary and rarely do males stay with a kinship group  
25  
26 318 long-term (Blundell, Ben-David, & Bower, 2002). Among river otter kinship groups, it is most typical  
27  
28 319 for offspring to establish new territories after one year of age (Kruuk, 2006, pp. 62). Among captive river  
29  
30 320 otters, the dynamics of social groups also vary relative to differential temperaments, ages, history, and sex  
31  
32 321 of individual otters. Thus, long-term housing of offspring with parents may not be feasible or practical in  
33  
34 322 all captive contexts (Reed-Smith, 2012, pp. 126-128). This study does not seek to advocate for the  
35  
36 323 retention of otter offspring, nor can we know how sustained residency of otters with their parents may  
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38 324 affect the emergence of ARBs in the subadult offspring.

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41 325 Since all female mammals exhibit some degree of parental care (Baker, 1994), the inclusion of  
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43 326 parenting opportunity as an enrichment variable for zoo-housed animals is not unreasonable, particularly  
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45 327 as part of a conservation, translocation or rehabilitation program. There is much discussion in the  
46  
47 328 comparative literature of Asian elephants that multigenerational herds in captivity (e.g., Harvey, Daly,  
48  
49 329 Clark, et al., 2018), as in the wild (Vidya & Sukumar, 2005) facilitate more affiliative interactions, de-  
50  
51 330 escalate aggressive encounters, few stereotypies, and provide for optimal welfare among individual  
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53 331 animals. There is also evidence that birth origin (i.e., captive-born or wild-born), specifically captive-  
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55 332 born, may contribute to the development of stereotypy among mice (Jones, Mason, & Pillay, 2011),  
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3 333 mustelids (Latham & Mason, 2008), and giraffes (Bashaw, Tarou, Maki, & Maple, 2001). Though,  
4  
5 334 socialization period, weaning, and age at captivity among wild-born animals moderates the development  
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7 335 of abnormal repetitive behavior, both Tilly and BC were wild-born river otters rehabilitated as pups and  
8  
9 336 therefore may not have received enough parental socialization to preempt the development of ARBs. Yet  
10  
11 337 the inclusion of parenting-opportunity for Tilly and BC provided a highly motivated natural behavioral  
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13 338 context, wherein established repetitive, invariant behavioral sequences contracted during the parenting  
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15 339 phase with the re-emergence of ARBs in the non-parenting baseline conditions. Given Nellie and Tucker  
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17 340 were not exposed to significant ARB acculturation from their parents, and were socialized within the  
18  
19 341 typical rearing period for North American river otters, it would be meaningful to compare Nellie  
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21 342 (Children's Zoo at Celebration Square, Saginaw, Michigan) and Tucker's (Prospect Park Zoo, Brooklyn,  
22  
23 343 NY) behavioral development with the behavioral data of their parents. We did not have sufficient  
24  
25 344 behavioral data for Tilly and BC while Little Pudding, the foster pup, was on exhibit to compare to the B  
26  
27 345 condition (biological pups). But based on the results of two years in observation, opportunities to parent  
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29 346 or alloparent particularly among zoos and aquariums with rehabilitation resources, may be an appropriate  
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31 347 consideration as part of an enrichment protocol for adult mustelids.  
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33

### 348 **Conclusions**

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37 349 1. This study reflects the first published, empirical study of river otter abnormal repetitive behavior  
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39 350 across parenting phases.  
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41 351 2. The locomotor ARB frequency for both adult otters, was lowest during the parenting condition  
42  
43 352 and highest following the relocation of pups to other zoo facilities.  
44  
45 353 3. Following the relocation of the biological pups, the adult female adjusted a pre-existing ARB to  
46  
47 354 include a foot-grab (predominantly, right hind foot), as well as foot suckling behavior.  
48  
49 355 4. This study does offer encouraging data to suggest parenting or alloparenting opportunity may  
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51 356 moderate ARBs and provide another enrichment option in the pursuit of psychological and physiological  
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53 357 well-being among zoo-housed animals.  
54

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12  
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14  
15 365 male subject of this paper, who in April of 2019 was compassionately euthanized following the diagnosis  
16  
17 366 and surgery to treat pancreatic cancer. He was 10 years and 2 months old.

#### 367 **Conflict of Interest Statement**

21  
22 368 The authors have no conflict of interest to report. We are not employees of the Oregon Zoo, nor do we  
23  
24 369 have any other potential sources of conflict of interest relevant to the publication of this paper, including  
25  
26 370 no patents or stock ownership relevant to the study, membership or service as board of directors to the  
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30 372 or receipt of speaker's fees from the Oregon Zoo or any other company relevant to zoo research.

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516 **Table 1. Zoo-Housed River Otters Ethogram**

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Welfare Variables (6)	
Feeding	Otters are provided food during the behavioral scan, they may or may not eat.
Enrichment	Enrichment number is the number of enrichment items present in exhibit (e.g., puzzles, Kong toys, water bottles, fish popsicles, etc.)
<i>Enrichment Type*</i>	<i>Qualitative description of each type of enrichment in the exhibit</i>
Visitor No.	Visitor number at the exhibit at any given time (including research observers)
VES	Visitor Effect Score, subjective scale of 1-10 that describes how loud, invasive visitors are.
Displaced	Following a disturbance or an event, the otter immediately departs one location for another.
<i>Displaced To*</i>	<i>Describes the starting and the ending zones following the disturbance that displaced the animal (e.g., 1-2; 5-3, etc.)</i>
ARB	Abnormal repetitive behavior, any repetitive, unvarying, and apparently functionless behavior that is atypical in a natural environment
<i>ARB Sequence*</i>	<i>Qualitative description of each ARB in sequence</i>
Vigilance (3)	
Directed Gaze	Directed gaze or eye contact with one or more of the visitors
Vigilance	Otter floats with head above surface, a directed gaze at the water or a target on land – often occurs following an environmental disturbance
Periscope	Otter floats vertically in the water column, head and shoulders above water level – often occurs concurrent with “Vigilance”
Rest/Eat (5)	
Sleep Number	Number of otters sleeping concurrently (typically in Zone 6)
Foot Suckle	Established ARB, while sleeping (typically Tilly), otter mouths or sucks one (L/R) or both hind feet
Eat	Ingestion of food (not simply appetitive behavior, but consumption)
Beg	Involves clear solicitation or door-monitoring prior to feeding.
Nurse/Suckle	Pups = suckling, Dam = nursing
Affiliative/Agonistic (11)	
Chase/Follow	Tailing, chasing or following another otter (or keeper)
Somersault	Somersault may start as a roll, but is head over feet and can occur as an ARB.
Foot-Grab	An established ARB wherein the otter holds one or both feet while somersaulting, this describes which foot (L or R or Both)
Grapple	Grappling, wrestling, tumbling, or rolling with another otter



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(Side)Saddle	Describes a play behavior, wherein one otter “saddles” atop another, different from “mounting,” often occurs as “side saddle”
Enrichment Play	Otter “plays” with enrichment, may be to mouth, toss, carry – may also use platform or food as play target
Muzzle	Nudges, grooms or licks at the body or pelage of a conspecific
Groom	Tug, scratch, lick, or strokes their own pelage (“muzzle” in a conspecific)
Nip	Non-aggressive, grabbing or nipping at another
Mount	Sexual or behavioral dominance, often with a neck bite
Aggression	Aggressive directed behavior (e.g., biting, hissing, open-mouth lunge at another otter, keeper, or at the exhibit glass)

## Locomotion/Investigation (11)

Forage	Appetitive, goal-directed behavior, toward food or enrichment, in water or land
UwSwim	Swimming activity underwater, breath held
SurfSwim	Swimming activity at the surface
ForDive	Otter tucks their head underwater and pinches their head to tail, thrusting the tail above their head to propel themselves to depth
BckDive	Tail thrust forward, back arched into backward dive, generally occurs less often than forward dive
Push-Off	Otter pushes off an exhibit surface (typically hind legs) to initiate a swim
Roll/Rub	May shake off water, roll in the dirt, or rub in the dirt, all usually to dry off.
Amble	Akin to “walk,” but shorter front limbs make “walking” a poor description
Gallop	Akin to “run,” though again “gallop” is more representative of otter running
Spraint	Otter engages in the “latrine dance” to urinate, defecate, or both
Scent	Otter smells the latrine site, or the genital/anal area of a conspecific

*\*Italicized rows are not a behavioral or contextual category, but a description of the preceding variable.*

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527 **Table 2.**528 **Percent Tilly and BC were on Exhibit during Focal Observations, By Phase**

Otter	No Pups (A <sub>1</sub> ) N=3,306	Pups (B) N=4,240	No Pups (A <sub>2</sub> ) N=1,720
Tilly	90.4% (n=2,987)	98.6% (n=4,180)	100% (n=1,720)
BC	95.8% (n=3,166)	81.6% (n=3,460)	100% (n=1,720)

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549 **Table 3.**550 **Tilly's Foot-Grab and Hind Foot Suckle Side Bias Frequencies**

Behavior	Left	Right	Both
Foot-Grab ( $N=84$ )	25% ( $n=21$ )	75% ( $n=63$ )	0
Foot Suckle ( $N=151$ )	19% ( $n=28$ )	76% ( $n=115$ )	5% ( $n=8$ )

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571 **Table 4.**572 **Pearson's Correlation Matrix for Welfare Variables**

	ARB	Visitor Effect Score	Visitor Number	Displacement	Enrichment	Feeding
ARB	---					
Visitor Effect Score	-.08***	---				
Visitor Number	.10***	.26***	---			
Displacement	-.08***	.25***	-.02*	---		
Enrichment	-.14***	.40***	-.17***	.18***	---	
Feeding	-.06***	.11***	.19***	.13***	.14***	---

Note: \* $p < .05$ ; \*\* $p < .01$ ; \*\*\* $p < .001$

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3 **589 Figure 1.**

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5 **590 West Observation Window**

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26 600 In this photograph, Zone 1 and 2 are visible. Zone 1 designates the terrestrial area behind the logs; Zone

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28 601 2 includes the logs and the platforms along the waterfall.

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Island, 27

River Otter Parenting and Abnormal Repetitive Behavior

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3 **615 Figure 2.**

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5 **616 West to East Observation Window**

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26 **626** The photograph reflects Zone 3 - 5. Zone 3 is visible from all three windows and reflects the  
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28 **627** land path that connects Zone 1 (land in the far-left window) and Zone 5 (land in the far-right window),  
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30 **628** which also leads into the covered otter den (Zone 6, not pictured). Zone 5 was the most frequently  
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32 **629** utilized latrine site. The open water area along all three observation windows reflects Zone 4.

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Island, 28

River Otter Parenting and Abnormal Repetitive Behavior

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3 **641 Figure 3.**

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5 **642 Covered Otter Den**

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26 **652** The photograph is of the covered, exhibit otter den is Zone 6. Here, visitors can see into the den through  
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28 **653** the one-way window, allowing the otters to find refuge while still providing visitors an opportunity to  
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30 **654** observe.

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Island, 29

River Otter Parenting and Abnormal Repetitive Behavior

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667 **Figure 4.**

668 **Observed ARB Percentages by Otter Across Three Parenting Phases**

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For Peer Review



Island, 30

River Otter Parenting and Abnormal Repetitive Behavior

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3 693 **Figure 5.**

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5 694 **Tilly Foot Grab into Somersault**

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27 705 The photograph of Tilly above shows her engaged in a post-parenting (A<sub>2</sub> condition) ARB, Foot-Grab

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29 706 Somersault (right hind foot).

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Island, 31

River Otter Parenting and Abnormal Repetitive Behavior

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3 **719 Figure 6.**

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5 **720 Tilly Foot Suckling**

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24 **729** This photograph is also of Tilly, sleeping with both rear feet in or near her mouth. This is an extension of

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26 **730** the Foot-Grab Somersault ARB, which also emerged following the relocation of the two pups, Nellie and

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28 **731** Tucker (A<sub>2</sub> condition).

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Figure 1. West Observation Window

In this photograph, Zone 1 and 2 are visible. Zone 1 designates the terrestrial area behind the logs; Zone 2 includes the logs and the platforms along the waterfall.

177x157mm (72 x 72 DPI)

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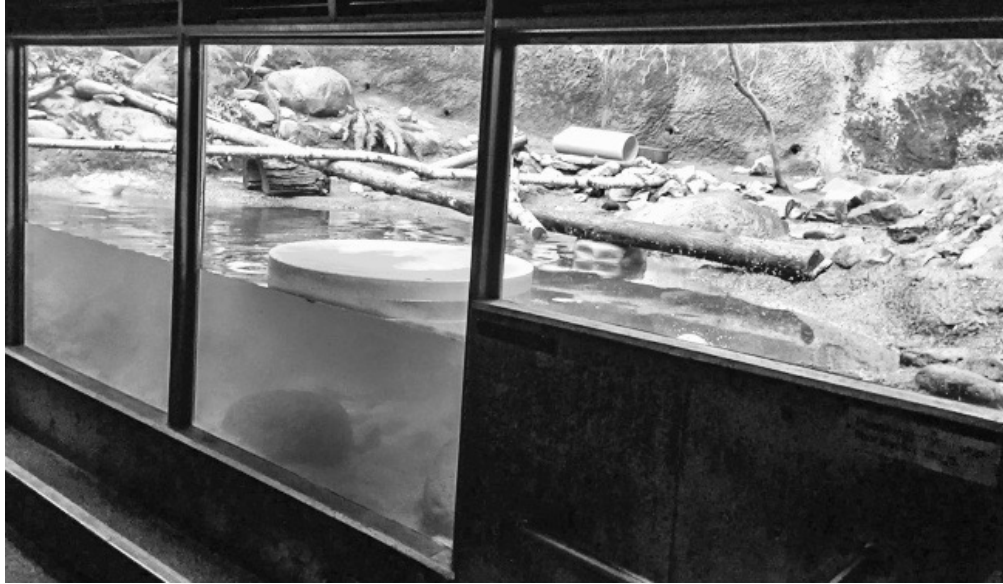


Figure 2. West to East Observation Window

The photograph reflects Zone 3 - 5. Zone 3 is visible from all three windows and reflects the land path that connects Zone 1 (land in the far-left window) and Zone 5 (land in the far-right window), which also leads into the covered otter den (Zone 6, not pictured). Zone 5 was the most frequently utilized latrine site. The open water area along all three observation windows reflects Zone 4.

228x132mm (72 x 72 DPI)



Figure 3. Covered Otter Den

The photograph is of the covered, exhibit otter den is Zone 6. Here, visitors can see into the den through the one-way window, allowing the otters to find refuge while still providing visitors an opportunity to observe.

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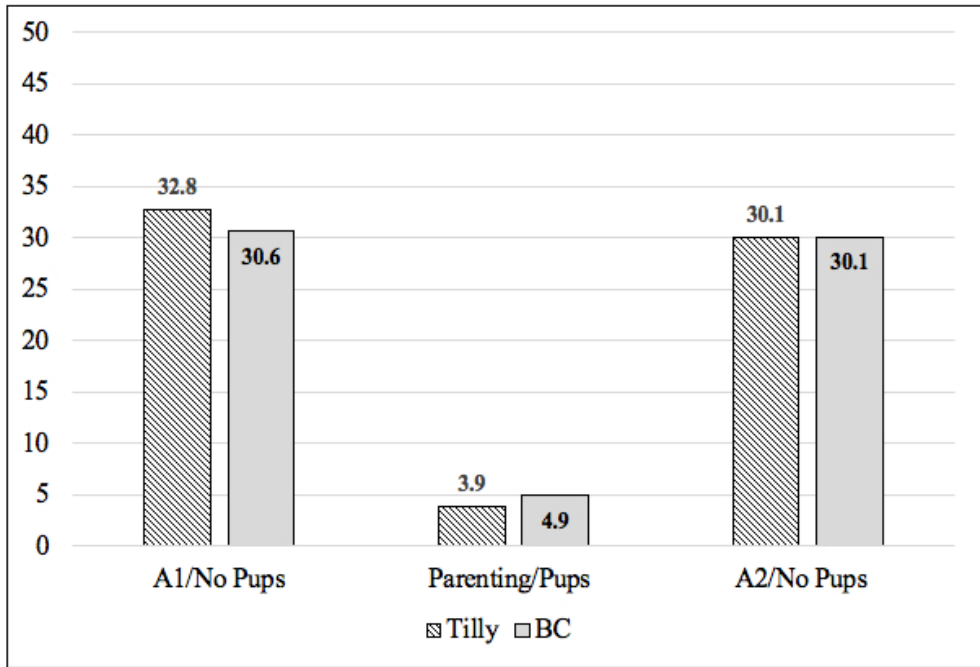


Figure 4. Observed ARB Percentages by Otter Across Three Parenting Phases

216x148mm (72 x 72 DPI)



Figure 5. Tilly Foot Grab into Somersault

The photograph of Tilly above shows her engaged in a post-parenting (A2 condition) ARB, Foot-Grab Somersault (right hind foot).

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Figure 6. Tilly Foot Suckling

This photograph is also of Tilly, sleeping with both rear feet in or near her mouth. This is an extension of the Foot-Grab Somersault ARB, which also emerged following the relocation of the two pups, Nellie and Tucker (A2 condition).

127x131mm (72 x 72 DPI)